

EXPRESS MAIL

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(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P1999S004

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/069321

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.  
PCT/EP00/07910INTERNATIONAL FILING DATE  
11.08.00PRIORITY DATE CLAIMED  
17.08.99

TITLE OF INVENTION

CRYSTAL FORMATION INHIBITION IN LUBRICATING COMPOSITIONS

APPLICANT(S) FOR DO/EO/US

David Gary Lawton Holt

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is the **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☒ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☒ Amendments to the claims of the International Application number PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☐ A **FIRST** preliminary amendment.
  14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information:

**PCT Request; International Application Number & International Filing Date; Receipt of Record Copy; The Recording of A Change; International Search Report; International Application to the Designated Offices; International Application as Published; International Preliminary Examination Report**

|  |   |   |
|--|---|---|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">10/069321</div> | INTERNATIONAL APPLICATION NO.<br><div style="font-weight: bold; margin-top: 10px;">PCT/EP00/07910</div> | ATTORNEY'S DOCKET NUMBER<br><div style="font-weight: bold; margin-top: 10px;">P1999S004</div> |
|--|---|---|

|   |              |              |            |   |    |
|---|--------------|--------------|------------|---|----|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO. .... \$1040.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$750.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00<br><br><div style="text-align: right; font-weight: bold;">ENTER APPROPRIATE BASE FEE AMOUNT =</div> |              |              |            | <b>CALCULATIONS</b> <b>PTO USE ONLY</b><br><br><br><br><br><br><br><br><br><br><div style="border: 1px solid black; height: 150px; margin: 0 auto; width: 100%;"></div> |    |
| \$890.00  |              |              |            |   |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |              |            | \$130.00  |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE       | \$  |    |
| Total claims  | 16- 20 =     | 0            | x \$18.00  | \$0.00  |    |
| Independent claims  | 2- 3 =       | 0            | x \$84.00  | \$0.00  |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |              | + \$280.00 | \$280.00  |    |
| TOTAL OF ABOVE CALCULATIONS =   |              |              |            | \$1,300.00  |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>are reduced by 1/2.   |              |              |            | \$  |    |
| SUBTOTAL =  |              |              |            | \$1,300.00  |    |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |            | \$0.00  |    |
| TOTAL NATIONAL FEE =  |              |              |            | \$1,300.00  |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |              |            | \$  |    |
| TOTAL FEES ENCLOSED =   |              |              |            | \$1,300.00  |    |
|   |              |              |            | Amount to be<br>refunded:   | \$ |
|   |              |              |            | charged:  | \$ |

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 05-1330 in the amount of \$1300.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment  
to Deposit Account No. 05-1330. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

EXXONMOBIL RESEARCH AND ENGINEERING COMPANY  
P. O. BOX 900  
ANNANDALE, NJ 08801-0900

Joseph J. Allosca - Reg. No. 27,766  
 Attorney for Applicants

